

NAPA COUNTY AGREEMENT NO. 260238B

PROFESSIONAL SERVICES AGREEMENT

THIS AGREEMENT is made and entered into in Napa County, California, this 9th day of December 2025, (“Effective Date”) by and between Napa County, a political subdivision of the State of California, hereinafter referred to as “County,” and TRC Engineers, Inc., a California corporation, whose business address is 1850 Gateway Blvd., Suite 1075, Concord, CA 94520 hereinafter referred to as “Consultant.”

RECITALS

- A. County wishes to obtain professional engineering and related services for completing the locally funded Measure T Solano Avenue Pavement Repair Project, RDS 25-01 in Napa, California.
- B. Consultant was selected to provide professional services after a competitive process conducted pursuant to RFQ Number RDS012501.
- C. For good and valuable consideration, the sufficiency of which is acknowledged, County and Consultant agree as follows:

AGREEMENT

ARTICLE I – SCOPE OF SERVICES

1.1 Scope of Services. Consultant shall provide professional services to County as described in Exhibit A to this Agreement, and in accordance with the Contract Documents. The Contract Documents consist of this Agreement and its Exhibits, the Request for Proposals or Qualifications issued by County (if any), and Consultant’s proposal or statement of qualifications.

1.2 Schedule. Consultant shall perform and complete the scope of services in accordance with the schedule set forth in Exhibit A. Consultant shall further perform the scope of services in compliance with any interim milestones or deadlines, as may be set forth in Exhibit A. Time is of the essence in the performance of the scope of services.

1.3 Standard of Care. Consultant represents that the professional services rendered under this Agreement shall be performed in accordance with the standards customarily adhered to by an experienced and competent professional using the degree of care and skill ordinarily exercised by reputable professionals practicing in the same field of service in the State of California. Consultant shall correct any professional services falling below this standard at its sole cost and expense, if notified by County within one year after completion of such services. This remedy is in addition to any other remedies that may be available to County in law or equity.

1.4 Correction of Deficient Services. Consultant shall take reasonable steps to commence correction of any services that fail to meet the standard of care within seven days of receipt of written notice from County unless otherwise agreed by the parties. If Consultant fails to commence such steps within the seven day or other agreed-upon period, County may, in addition to any other remedies provided under the Contract Documents, commence correction of such services without further written notice to Consultant. If County takes such corrective action, Consultant shall be responsible for all reasonable costs incurred by County in performing such correction, including but not limited to the cost of County staff time and the amount paid to another consultant to correct the deficient services.

1.5 Other Remedies. This Article applies only to Consultant's obligation to correct services that do not meet the standard of care and is not intended to constitute a period of limitations or waiver of any other rights or remedies County may have regarding the Consultant's other obligations under the Contract Documents or federal or state law.

1.6 Key Personnel. Key personnel identified in Consultant's proposal, or statement of qualifications shall be the individuals who will actually perform the services. Changes in key personnel must be reported by Consultant in writing and approved by County.

1.7 Government Code Section 7550. Every document or report prepared by Consultant for or under the direction of County pursuant to this Agreement shall contain the numbers and dollar amounts of all contracts and subcontracts relating to the preparation of the document or written report if the total cost for the work performed by nonemployees of County exceeds five thousand dollars (\$5,000). The contract and subcontract numbers and dollar amounts shall be contained in a separate section of the document or written report. If multiple documents or written reports are the subject or product of this Agreement, the disclosure section may also contain a statement indicating that the total contract amount represents compensation for multiple documents or written reports.

ARTICLE II – DURATION OF AGREEMENT

2.1 Term of the Agreement. The term of this Agreement shall begin on the Effective Date entered on page 1 of this Agreement. This Agreement shall expire one year after completion of the scope of services, unless terminated earlier in accordance with this Article.

2.2 Suspension for Convenience. County may suspend all or any portion of Consultant's performance under this Agreement at its sole option and for its convenience at no cost for a period of time not to exceed 60 days. County must give 10 days prior written notice to Consultant of such suspension. County may rescind the suspension prior to or at 60 days by providing Consultant with written notice of the rescission, at which time Consultant will be required to resume performance in compliance with the terms and provisions of this Agreement.

2.3 Termination for Convenience. County may terminate all or any portion of this Agreement at its sole option and for its convenience, by giving 30 days prior written notice of such termination to Consultant. The termination of the Agreement shall be effective 30 days after receipt of the notice by Consultant. After receipt of notice of termination of all or any portion of the Agreement, Consultant shall promptly discontinue all affected performance (unless the notice directs otherwise) and complete any additional work necessary for the orderly filing of documents and closing of Consultant's affected performance under the Agreement. Consultant shall deliver to County all data, drawings, specifications, reports, estimates, summaries, and such other information and materials created or received by Consultant in performing this Agreement, whether completed or unfinished provided CONTRACTOR has been paid all undisputed invoice amounts due. Consultant may keep copies for its own records. County shall pay Consultant for services satisfactorily provided before the effective date of termination, and reasonable costs incurred by Consultant in providing County with the data and documents required by this paragraph. Consultant shall not be compensated for lost or anticipated profit or overhead on the terminated portion of this Agreement.

2.4 Termination for Cause. County may terminate this Agreement for default if Consultant fails to satisfactorily perform any material obligation required by this Agreement. Default includes Consultant's failure to timely provide services in accordance with the schedule. If Consultant fails to satisfactorily cure a default within 10 days of receiving written notice from County specifying the nature of the default, County may immediately terminate this Agreement, and terminate each and every right of Consultant, and any person claiming any rights by or through Consultant under this Agreement. The rights and remedies of County enumerated in this paragraph are in addition to and independent of County's rights under any other provision of this Agreement and any right or remedy available to County at law or in equity.

2.4.1 Absence of Default. If after County gives notice of termination for cause, it is determined that Consultant was not in default of a material obligation of this Agreement, the termination shall be deemed to be a termination for the convenience of County under paragraph 2.3.

2.5 Purchasing Agent's Authority. The County Purchasing Agent or their designee is hereby authorized to make all decisions and take all actions required under this Article to suspend or terminate this Agreement.

ARTICLE III – COMPENSATION

3.1 Amount of Compensation. County shall pay Consultant for satisfactory performance of the scope of services, as follows:

3.1.1 Rates. County shall pay Consultant at the unit prices set forth in Exhibit B.

3.1.2 Expenses. Travel or other expenses will only be reimbursed by County if such expenses are specifically identified in Exhibit B. Any travel expenses must comply with

the Napa County Travel Policy found in the Napa County Policy Manual, Part I, Section 43, regardless of anything to the contrary in Exhibit B.

3.1.3 Maximum Amount. Notwithstanding paragraphs 3.1.1 and 3.1.2, the maximum payments under this Agreement shall not exceed \$529,778; provided, however, that such amounts shall not be construed as guaranteed sums, and compensation shall be based upon services actually provided and reimbursable expenses actually incurred.

3.2 Payment Process. Consultant may submit one invoice per calendar month in arrears for services provided, to the Project Manager who will review the invoice to confirm its contents match the services provided during the period covered by the invoice. If approved, the invoice will be forwarded to the Napa County Auditor no later than 15 days following receipt of the invoice.

3.2.1 Content of Invoices. Invoices shall be in a form acceptable to the Napa County Auditor and include Consultant's name, address, Social Security or Taxpayer Identification Number, and the Napa County Agreement number. If this Agreement provides for payment based on unit prices or tasks completed, invoices shall include itemization of the hours worked, descriptions of the tasks completed during the billing period, the names and positions of person(s) performing the services, and the hourly or task rates. If the Agreement or Exhibit B provides for a fixed or lump sum price and Consultant presents monthly invoices, each invoice must indicate the percentage of work completed (e.g., 50% of design or draft report) or the milestone(s) achieved in Exhibit B, which will allow Consultant to be paid the equivalent percentage of the fixed price.

3.2.2 Expenses. If the Agreement provides for reimbursement of expenses, invoices shall describe the nature and cost of the expense, and the date incurred. Receipts must be included with the invoice.

3.3 Annual Appropriation of Funds. Consultant acknowledges that the term of this Agreement may extend over multiple County fiscal years, and that compensation under this Agreement is contingent on the Board of Supervisors appropriating funding for this Agreement for those fiscal years. This Agreement may be terminated at the end of the fiscal year for which sufficient funding is not appropriated and authorized. County is not obligated to pay Consultant, nor is Consultant obligated to provide further services if sufficient funds have not been appropriated and authorized by the Board of Supervisors.

3.4 Reserved.

ARTICLE IV – INSURANCE

4.1 Insurance. Prior to commencing the scope of services, Consultant shall obtain and maintain in full force and effect throughout the term of this Agreement, and thereafter as to

matters occurring during the term of this Agreement, the insurance coverage set forth in Exhibit C.

4.2 Inclusion in Subcontracts. Consultant shall require its subconsultants and any other entity or person providing services under this Agreement to comply with the Workers Compensation and General Liability insurance requirements set forth in Exhibit C.

ARTICLE V – INDEMNIFICATION

5.1 Indemnification and Hold Harmless. To the fullest extent permitted by law, Consultant shall defend at its own expense, indemnify, and hold harmless County and its officers, and employees from and against any and all liability, claims, actions, proceedings, losses, injuries, damages or expenses of every name, kind, and description, including litigation costs and reasonable attorney’s fees incurred in connection therewith, brought for or on account of personal injury (including death) or damage to property, to the extent caused by the negligent act or omissions of Consultant or its officers, agents, employees, volunteers, consultants and subconsultants in providing services under this Agreement, excluding, however, such liability, claims, actions, losses, injuries, damages or expenses to the extent arising from the negligence or willful misconduct of County. Each party shall notify the other party immediately in writing of any claim or damage related to activities performed under this Agreement. The parties shall cooperate with each other in the investigation and disposition of any claim arising out of the activities under this Agreement. Notwithstanding anything to the contrary in this Agreement, County and Consultant waive any and all claims against each other for incidental, consequential, special, multiple, and punitive damages arising out of or relating to this Agreement, regardless of whether such damages were foreseeable and whether or not the culpable Party was advised of the possibility of such damages.

5.2 Design Professionals. To the extent Consultant is providing the services of a “design professional” as defined in California Civil Code section 2782, County acknowledges that Consultant’s obligations under paragraph 5.1 may be limited under Civil Code Section 2782.8.

5.3 Effect of Insurance. The provisions of this Article are not limited by the requirements of Article IV related to insurance.

5.4 Enforcement Costs. Consultant shall reimburse any and all costs County incurs enforcing the indemnity, hold harmless, and defense provisions set forth in this Article.

5.5 Survival. This Article shall survive termination or expiration of this Agreement and continue in effect so long as a viable claim may exist.

ARTICLE VI – MANDATORY COUNTY PROVISIONS

6.1 Compliance with County Policies. Consultant shall comply, and require its employees and subconsultants to comply, with the following policies, copies of which are available on

County's website at <https://www.countyofnapa.org/771/Purchasing> and are hereby incorporated by reference.

6.1.1 Napa County "Waste Source Reduction and Recycled Product Content Procurement Policy," which is found in the Napa County Policy Manual Part I, Section 8D.

6.1.2 Napa County "Discrimination, Harassment and Retaliation Prevention Policy," which is found in the Napa County Policy Manual Part I, Section 37K.

6.1.3 Napa County "Drug and Alcohol Policy," which is found in the Napa County Policy Manual Part I, Section 37O.

6.1.4 "Napa County Information Technology Use and Security Policy" which is found in the Napa County Policy Manual Part I, Section 31A.

6.1.5 Napa County "Workplace Violence Policy," which is found in the Napa County Policy Manual Part I, Section 37U.

6.2 Inducement of County Employees. Consultant shall not permit its officers, agents, or employees to engage in any activities during the performance of any of services under this Agreement that would interfere with compliance or induce violation of these policies by County employees or consultants.

ARTICLE VII – COMPLIANCE WITH LAWS

7.1 Compliance with Controlling Law. Consultant shall comply with all laws, ordinances, regulations, and policies of federal, California, and local governments applicable to this Agreement. Consultant shall comply immediately with all directives issued by County or its authorized representatives under authority of any laws, statutes, ordinances, rules, or regulations.

7.2 Conflict of Interest. Consultant acknowledges that they are aware of the provisions of Government Code sections 1090, et seq., and sections 87100, et seq., relating to conflict of interest of public officers and employees. Consultant hereby covenants, to the best of its knowledge, information, and belief, that it presently has no interest not disclosed to County and shall not acquire any interest, direct or indirect, which would conflict in any material manner or degree with the performance of the scope of services under this Agreement. Consultant further represents, to the best of its knowledge, information, and belief, that it is unaware of any financial or economic interest of any public officer or employee of County relating to this Agreement. Violation of this paragraph by Consultant is a material breach of this Agreement which may result in termination of the Agreement for cause.

7.3 Taxes. Consultant shall file federal and state tax returns or applicable withholding documents and pay all applicable taxes or make all required withholdings on amounts paid

pursuant to this Agreement. Consultant shall be solely liable and responsible to make such withholdings and pay such taxes and other obligations including, without limitation, state and federal income and FICA taxes. Consultant shall indemnify and hold County harmless from any liability it may incur to the United States or the State of California if Consultant fails to pay or withhold, when due, all such taxes and obligations. If County is audited for compliance regarding any withholding or other applicable taxes or amounts, Consultant shall furnish County with proof of payment of taxes or withholdings on those earnings within 10 business days after notice from County.

7.4 Prevailing Wage Requirements. The scope of services includes “public works” as defined in the California Labor Code. Consultant shall comply with all State prevailing wage requirements, including but not limited to, those set forth in Exhibit D.

ARTICLE VIII – DISPUTE RESOLUTION

8.1 Mandatory Non-binding Mediation. If a dispute arises out of or relates to this Agreement, or the breach thereof, and if said dispute cannot be settled through normal contract negotiations, the parties agree to attempt to settle the dispute in an amicable manner, using mandatory mediation through Judicial Arbitration and Mediation Services (JAMS) or any other neutral organization agreed to by the parties. To initiate mediation, the initiating party shall send written notice of its request for mediation to the opposing party. Mediation is mandatory before either party may initiate litigation or have recourse in a court of law.

8.2 Mediation Costs. The expenses of witnesses for either side shall be paid by the party producing such witnesses. All other expenses of the mediation, including required traveling and other expenses of the mediator, and the cost of any proofs or expert advice produced at the direct request of the mediator, shall be borne equally by the parties, unless they agree otherwise.

8.3 Selection of Mediator. A single mediator that is acceptable to both parties shall be used to mediate the dispute. The mediator may be selected from lists furnished by JAMS or any other agreed upon mediator. The parties shall endeavor to agree on a mediator within 10 business days, unless a longer period is mutually agreed to in writing by Consultant and County. If the parties cannot agree on a mediator, JAMS or other neutral organization shall select the mediator.

8.4 Conduct of Mediation Sessions. Mediation hearings will be conducted in an informal manner and discovery will not be allowed. The discussions, statements, or admissions will be confidential to the proceedings and will be subject to Evidence Code section 1152. The parties may agree to exchange any information they deem necessary. Both parties shall have a representative attend the mediation who is authorized to settle the dispute, though County’s recommendation of settlement may be subject to the approval of the Board of Supervisors. Either party may have attorney(s), witnesses, or expert(s) present. Either party may request a list of witnesses and notification whether attorney(s) will be present.

8.5 Mediation Results. Any resultant agreements from mediation shall be documented in writing. Mediation results and documentation, by themselves, shall be “non-binding” and inadmissible for any purpose in any legal proceeding, unless such admission into evidence is otherwise agreed to in writing by both parties. Mediators shall not be subject to any subpoena or liability, and their files and actions shall not be subject to discovery.

ARTICLE IX – GENERAL PROVISIONS

9.1 Access to Records/Retention. Consultant shall provide County with access to Consultant’s records which are reasonably necessary for County to review or audit Consultant’s compliance with the provisions of this Agreement. Consultant shall provide such access within 10 business days after written request by County, either by providing copies of the requested records to County or allowing County to inspect and photocopy the records at Consultant’s place of business where the records are kept. Consultant shall maintain all records related to this Agreement for at least four years after expiration or termination of this Agreement.

9.2 Notices. All notices required or authorized by this Agreement shall be in writing and shall be delivered in person or by deposit in the United States mail, by certified mail, postage prepaid, return receipt requested. Any mailed notice, demand, request, consent, approval, or communication that either party desires to give the other party shall be addressed to the other party at the address set forth below. Either party may change its address by notifying the other party of the change of address. Any notice sent by mail in the manner prescribed by this paragraph shall be deemed to have been received on the date noted on the return receipt or five days following the date of deposit, whichever is earlier.

COUNTY

Napa County Department of Public Works
1195 Third Street, Suite 101
Napa, CA 94559

CONSULTANT

TRC Engineers, Inc.
1850 Gateway Blvd., Suite 1075
Concord, CA 94520

9.3 Independent Contractors. Consultant and its subconsultants, if any, are independent contractors and not agents of County. Any provisions of this Agreement that may appear to give County any right to direct Consultant concerning the details of performing the scope of services, or to exercise any control over such performance, shall mean only that Consultant shall follow the direction of County concerning the end results of the performance.

9.4 Contract Interpretation. This Agreement and all Contract Documents shall be deemed to be made under, and shall be construed in accordance with and governed by, the laws of the State of California without regard to the conflicts or choice of law provisions thereof. It is the intent of the Contract Documents to completely describe the goods and services to be provided. Any work, materials, or equipment that may reasonably be inferred from the Contract Documents or from prevailing custom or trade usage as being required to produce the intended result shall be supplied whether or not specifically called for or identified in the Contract

Documents. When words or phrases which have a well-known technical or industry or trade meaning are used to describe work, materials, equipment, goods, or services such words or phrases shall be interpreted in accordance with that meaning unless a definition has been provided in the Contract Documents. In resolving conflicts resulting from errors or discrepancies in any of the Contract Documents, the order of precedence shall be in descending order as set forth below (the document in paragraph 9.4.1 having the highest precedence). Provisions of the Contract Documents addressing the same subject which are consistent but have different degrees of specificity shall not be considered to be in conflict, and the more specific language shall control. Order of Precedence:

- 9.4.1 This Agreement.
- 9.4.2 The Exhibits to this Agreement.
- 9.4.3 The RFQ or RFP issued by County.
- 9.4.4 Consultant's proposal or statement of qualifications.

9.5 Drafting Ambiguities. The parties acknowledge that they have the right to be advised by legal counsel with respect to the negotiations, terms, and conditions of this Agreement, and the decision of whether to seek advice of legal counsel with respect to this Agreement is the sole responsibility of each party. This Agreement shall not be construed in favor of or against either party by reason of the extent to which each party participated in the drafting of the Agreement.

9.6 Third Party Beneficiaries. Unless expressly set forth in this Agreement, none of the provisions of this Agreement are intended to benefit any third party not specifically referenced herein. No person other than County and Consultant shall have the right to enforce any of the provisions of this Agreement.

9.7 Force Majeure. In the event either party's performance is delayed due to causes which are outside the control of both parties and their subconsultants, contractors and employees, and could not be avoided by the exercise of due care, which may include, but is not limited to, delays by regulating agencies, wars, floods, adverse weather conditions, labor disputes, unusual delay in transportation, epidemics abroad, earthquakes, fires, terrorism, incidence of disease or other illness that reaches outbreak, epidemic and/or pandemic proportions, unusual delay in deliveries, riots, civil commotion or other unavoidable casualties, and other acts of God, both parties will be entitled to an extension in their time for performance equivalent to the length of delay. Neither party will be entitled to compensation from the other for force majeure events. The party claiming its performance is delayed must demonstrate to the reasonable satisfaction of the other party that a force majeure event is causing the delay; the mere occurrence of a force majeure event is insufficient to extend the time for performance.

9.8 Confidentiality of Services. All services performed by Consultant and any subconsultants, including but not limited to all drafts, data, information, correspondence, proposals, reports of any nature, estimates compiled or composed by Consultant, are for the sole use of County. However, this shall not include any ownership interest in Consultant's preexisting information or intellectual property. Neither the documents nor their contents shall be released

by Consultant or any subconsultant to any third party without the prior written consent of County. Contractor shall not disclose records or other information provided by County under this Agreement to any third party, except as necessary to perform the scope of services, unless the records or information: (1) were publicly known, or otherwise known to Consultant, at the time it was disclosed to Consultant by County; (2) subsequently become publicly known through no act or omission of Consultant; or (3) otherwise become known to Consultant other than through disclosure by County.

9.9 Insolvency. Consultant shall notify County if Consultant enters into bankruptcy proceedings. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of County contract numbers and contracting offices for all County contracts against which final payment has not been made. This obligation remains in effect until final payment is made under this Agreement.

9.10 Attorney's Fees. If either party commences legal action of any kind or character to either enforce the provisions of this Agreement or to obtain damages for breach thereof, the prevailing party in such litigation shall be entitled to all costs and reasonable attorney's fees incurred in connection with such action. This paragraph does not apply to attorney's fees or costs incurred during mediation.

9.11 Venue. This Agreement is made and entered into in Napa County, California. Venue for any legal action in state court filed by either party to this Agreement for the purpose of interpreting or enforcing any provision of this Agreement shall be in the Superior Court of California, County of Napa. Venue for any legal action in federal court filed by either party to this Agreement for the purpose of interpreting or enforcing any provision of this Agreement shall be in the Northern District of California.

9.12 Exhibits Incorporated. All Exhibits referenced in this Agreement are hereby incorporated into the Agreement by this reference.

9.13 County Powers. Nothing contained in this Agreement shall be construed as a limitation upon the powers of County as a subdivision of the State of California. Nothing in this Agreement shall be interpreted as limiting the rights and obligations of County in its governmental or regulatory capacity.

9.14 Survival of Obligations. All indemnifications, warranties, guarantees and other obligations that by their nature involve performance after the early termination or expiration of this Agreement or after completion and acceptance of the scope of services, shall survive the early termination or expiration of this Agreement provided that under no circumstances will any obligations of Consultant under this Agreement survive beyond any applicable statute of limitations. Such obligations include, but are not limited to, paragraphs 1.4 (Correction of Deficient Services), 9.1 (Access to Records/Retention), 9.8 (Confidentiality of Services), and

Article VIII (Dispute Resolution). Obligations related to insurance or indemnity shall continue in full force and effect after the date of early termination or expiration, but only with regard to acts or omissions that occurred during the term of the Agreement.

9.15 Severability. Should any provision of this Agreement be held invalid or illegal by a court of competent jurisdiction, such invalidity or illegality shall not invalidate the whole of this Agreement, but rather, the Agreement shall be construed as if it did not contain the invalid or illegal provision, and the rights and obligations of the parties shall be construed and enforced accordingly, except to the extent that enforcement of this Agreement without the invalidated provision would materially and adversely impact either or both parties' consideration for entering into this Agreement.

9.16 Amendment/Modification. This Agreement may be modified or amended only in writing and with the prior written consent of both parties. Failure of Consultant to secure such authorization in writing in advance of performing any extra or changed work shall constitute a waiver of any and all rights to adjustment in compensation or contract time.

9.17 No Waivers. Any failure by either party to insist upon the strict performance by the other of any obligation of this Agreement, or any failure to exercise any right or remedy for a breach of any term or condition of this Agreement, shall not constitute a waiver of any such failure to perform or breach of any term or condition. A waiver must be express and in writing. The waiver by either party of any breach or violation of any requirement of this Agreement shall not be deemed to be a waiver of any such breach in the future, or of the breach of any other requirement of this Agreement.

9.18 No Assignments. Consultant may not assign the obligations under this Agreement, nor any monies due or to become due under this Agreement, without County's prior written approval which such approval shall not be unreasonable withheld. Any assignment in violation of this paragraph shall constitute a default and is grounds for termination of this Agreement at County's sole discretion. In no event shall any putative assignment create a contractual relationship between County and any putative assignee.

9.19 Successors in Interest. All rights and obligations created by this Agreement shall be in force and effect whether or not any parties to the Agreement have been succeeded by another entity, and all rights and obligations created by this Agreement shall be vested and binding on any party's successor in interest.

9.20 Entirety of Contract. This Agreement, including any documents expressly incorporated by reference whether or not attached hereto, constitutes the entire agreement between the parties relating to the subject of this Agreement and supersedes all previous agreements, promises, representations, understandings, and negotiations, whether written or oral, among the parties with respect to the subject matter hereof.

9.21 Counterparts. This Agreement may be executed in counterparts, which when taken together, shall constitute a single signed original as though all parties had executed the same page.

IN WITNESS WHEREOF, this Agreement is executed by County, acting by and through the Chair of the Board of Supervisors, and by Consultant through its duly authorized officer(s).

TRC ENGINEERS, INC.

By 
LINCOLN LEAMAN, PE, Vice President

By 
GRANT J. RATKOVIC, Assistant Secretary

“Consultant”

NAPA COUNTY, a political subdivision of
the State of California

By _____
ANNE COTTRELL, Chair of the Board of Supervisors

“County”

APPROVED AS TO FORM Office of County Counsel By: <u>Shana A. Bagley</u> Deputy County Counsel Date: November 24, 2025	APPROVED BY THE NAPA COUNTY BOARD OF SUPERVISORS Date: _____ Processed By: _____ _____ Deputy Clerk of the Board	ATTEST: NEHA HOSKINS Clerk of the Board of Supervisors By: _____
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EXHIBIT A SCOPE OF SERVICES

The scope for these services is to conduct engineering and contract administration services for the roadway rehabilitation work that is planned next summer (Summer in 2026) along Solano Avenue. The planned paving work generally includes recycling-in-place strategy to improve about three miles of Solano Avenue between Napa City and Yountville. Our services will include securing encroachment permit support, design, and contract administration services for this critical project for Napa County, with project deliverables including design plans, specifications, and cost estimate, and progress meeting agendas and minutes as part of contract administration during construction.

The following are the assumptions made in preparing our scope of services:

- Base plans to be provided by the County to include Centerline, Typical Sections, Utilities – expected to be included in the coming survey/pothole plan; Existing curbs, Striping, Guardrail, Vine Trail Repairs, HWY-29 Exits and Side Street Approaches, , R/W, Aerial, Topo of Roadway – only spot elevations will be included in the coming survey/pothole plan. Survey and potholing carried out separately by the County outside this project contract.
- This scope does not include biological evaluation or environmental permitting. It is assumed that the project will be exempt from CEQA and environmental permitting. The County will be responsible for determining the need for any environmental permits.
- This scope does not include geotechnical investigations or evaluations.
- All design plans (35% and final design), specifications (95% and final), and cost estimate (construction cost) will be prepared by the TRC team in close collaboration with the County.
- TRC provides construction management services, including construction inspections. However, as per feedback from the County, costs associated with construction inspections are not included within this proposal.
- TRC will continue to collaborate with our team partner Miller Pacific Engineering Group, who will be providing material testing services (as needed) and necessary consultations for geotechnical elements of the project. Costs associated with as-needed Material Testing services are included within this proposal.
- Surveying has been completed as part of a separate task. This scope assumes that utility locating, and topographic surveying will be provided to complete the design tasks herein.
- All necessary coordination related to utilities, rail right-of-way, and vine trail right of-way have been coordinated by the County. Utilities in the project area will be drafted in the survey/potholing plan to be provided by the County (this was developed outside the scope of this project). Caltrans Encroachment permit is anticipated to be

- coordinated for the project (related to anticipated change in traffic flow; lane closures) and will be procured by the County.
- Specification boiler plate to be provided by the County for customizing it to project-specific specifications. Cost Estimate template to be provided by the County showing a simpler format of Schedule of Values (SOV) and quantities. Cost estimate will not involve a detailed Caltrans 11-page estimate format. Pavement rehabilitation option (FDR as noted by the County) is supplied in the Geotechnical report developed by the County outside this contract. Concrete mix design, asphalt specification will be provided by the County using a sample reference to use. Base plans to be provided by the County to include Centerline, Typical Sections, Utilities – should be included in the coming survey/pothole plan; Existing curbs, Striping; Driveways –showing a typical 5 foot conform, except for concrete driveways where it will be left at the road at (E) elevations; R/W lines – to the degree available; Aerial; Topo of Roadway – only spot elevations will be included in the coming survey/pothole plan. Survey and potholing carried out separately by the County outside this project contract.
 - Contract administration support to include not to exceed 8 hours per week for 14 weeks, including facilitating progress weekly calls and reviewing proposed change orders and documentation. Monthly Payapp reviews and timely payment processing will be addressed by the County directly.

SCOPE OF SERVICES

Project Initiation and Management

1. To date, the TRC PM has attended a meeting with the County and its Geotechnical partner (Miller Pacific) and a project schedule coordination meeting on the Knoll House Offsite Improvements project team site.
2. Up to a dozen (12) online meetings (half hour duration each) with the County and project staff are included in this scope of work.

Design, Contract Administration, and Material Testing Services

The proposed project will involve roadway rehabilitation work along Solano Avenue. The planned paving work generally includes recycling-in-place strategy to improve about three miles of Solano Avenue between Napa City and Yountville, as well as associated ancillary work as detailed in Task 2. Our services will include securing encroachment permit support, design, and contract administration services for this critical project for Napa County, with project deliverables including design plans, specifications, cost estimate, and progress meeting agendas and minutes as part of contract administration during construction. More specifically, the TRC team will perform the following tasks:

Anticipated Project Deliverables:

- Design Basis Technical Memorandum

- Preliminary Design 35% plans (Draft Design)
- Final Design 95% plans (Final Design)
- Final Specifications 95% (Final Specs)
- Final Cost Estimate (simplified version as noted by the County; No Caltrans 11-page estimate format; simple SOV Table)
- 100% Design, Specifications, and Estimate
- Progress Meetings, Agendas, and Minutes during Pre/during Construction

Task 1 - Encroachment Permitting Support

The TRC team will coordinate with the County in facilitating the steps involved in securing the necessary Caltrans encroachment permits for the proposed roadway rehabilitation project in a timely and proactive manner. This scope includes up to two virtual meetings with the County to review, present and discuss the findings and submittals to facilitate securing necessary encroachment permit.

Task 1A – Design Technical Memorandum

TRC team will establish the Design Basis Technical Memorandum (“Design Memo”) based on the County’s feedback, surveyed site conditions. The format and key elements of the Design Memo have been provided to TRC by the County for review and development.

Tasks 2 – Preliminary Design (35%)

Pavement rehabilitation option (FDR as noted by the County) is supplied in the Geotechnical report developed by the County outside this contract. Concrete mix design, asphalt specification will be provided by the County using a sample reference to use. Base plans to be provided by the County to include Centerline, Typical Sections, Utilities – should be included in the coming survey/pothole plan; Existing curbs, Striping, Guardrail, Vine Trail Repairs, (3) Crossings from Parallel HWY-29 (“Exits”) – typically 50 feet, Side Street Approaches Paving – typically a 20 foot conform, Driveways – typically a 5 foot conform, except for concrete driveways where it will be left at the road at (E) elevations, R/W lines – to the degree available, Aerial, Topo of Roadway – only spot elevations will be included in the coming survey/pothole plan. Survey and potholing carried out separately by the County outside this project contract. Draft and final Plans will include MGS (guard rail) detailed plans as per limits (for placement) determined by the County along Solano Avenue. Assumption used is that the steps to assess clearance requirements (based on project limits identified by the County- knowing the exact placement of MGS), obstacles or monuments to be preserved if any (working around them), length of need requirements as we draft MGS within the plan sheet, will be simple (using County provided information) and hence less tedious to incorporate MGS within the engineering plans.

Tasks 2A – Design Phase Review and Coordination Meetings

TRC will facilitate a dozen (12) online meetings (half hour duration each with agenda and minutes; one per week for 12 weeks) with the County and project staff.

Tasks 3 – Utility Coordination and Review of Existing Project Culvert/Drainage

All necessary coordination related to utilities, rail right-of-way, and vine trail right of-way have been coordinated by the County. Utilities in the project area will be drafted in the survey/potholing plan to be provided by the County (this was developed outside the scope of this project). TRC Team will review information made available to us by the County related to coordinated information (only review and not procuring it or supplemental application). Caltrans Encroachment permit is anticipated to be coordinated for the project (related to anticipated change in traffic flow; lane closures) and will be procured by the County. To the extent possible, there will be a cursory review of existing culvert conditions requiring rehabilitation along Solano Avenue.

Task 4 Final Design – Final Engineering (95%)

TRC team will further design of the roadway configuration based on the County's feedback, surveyed site conditions, as well as AASHTO and Caltrans geometric standards. As part of the 95% design, advanced set of drawing sheets with details will be created.

Task 5 Final Design – Final Engineering-Specifications (95%)

As part of the 95% design, specifications will be created for use in the bidding package. Specification boiler plate to be provided by the County for customizing it to project-specific specifications. The County will help fill out the front- end portion of the contract requirements to the Contractor.

Task 6 Final Design – Final Engineering-Estimate

Cost Estimate template to be provided by the County showing a simpler format of Schedule of Values (SOV) table showing quantities. Cost estimate will not involve a detailed Caltrans 11-page estimate format as requested by the County.

Task 7 – Final Set of Drawings, Specs, and Estimate (100%)

TRC team will finalize bidding package with final set of drawings, specifications, and estimate to be used for bidding purposes.

Task 8 - QC of 100% Plans, Specs, and Estimate

A Quality Control (QC) review will be implemented on the project to coordinate design and CADD to meet the County's goals for the project. Design documents will be reviewed by Senior managers at the 35% submittal, 95% submittal, and final design set. Comments will be addressed and incorporated into the 35%, 95%, and final submittal to the County.

Task 9 - Prepare Submittal to County

An electronic submittal of the Plans, Specifications, and Estimate will be prepared and submitted to the County for review and approval.

Task 10 - Design Support during Construction and Contract Administration (during Construction) Services

TRC team will support the County responding to RFIs, reviewing submittals during project construction, and correspondence during construction. This scope also includes preparation of project record drawings based on contractor markups. TRC team will provide contract administration services (not to exceed 8 hours per week for 14 weeks) including specific functions such as facilitating progress weekly calls (agendas, minutes) and reviewing proposed change orders and documentation only. TRC Contract administration services for the first week will include facilitating the pre-construction conference (1-hour duration) in place of the progress weekly call for that week, followed by weekly progress calls (up to 1 hour duration) for the next 13 weeks.

Details for Tasks 11 and 12 are shown. TRC overhead increases these tasks with a sub-consultant markup of 10% applied.

Task 11 – MILLER PACIFIC – Consultation

As TRC prepares design plans, Miller Pacific Engineering Group (MPEG) will be available for consultation on geotechnical items that could include alternative pavement sections near the railroad crossings or value engineering items that could be considered to meet the County's available budget. MPEG can also participate in meetings with Wine Train staff about work near the tracks, with City of Napa staff for working near the water transmission line and other items. Additionally, MPEG can also review plans and specifications for conformance with recommendations, review submittals and RFI's prior to construction.

Task 12 - MILLER PACIFIC – Construction Observations and Testing

During FDR and paving work, MPEG will observe the work and perform density tests on treated materials and new asphalt as it is being compacted. MPEG will perform laboratory testing that will lead to an opinion on whether the contractor's operations and material quality meet the minimum project specifications. For laboratory asphalt testing, MPEG will perform "mix tests" (S-value, Oil Content, Air Voids, Density, and Gradation) each day of significance. MPEG can also obtain an aggregate sample from the asphalt supplier's hot plant for laboratory durability, LA Rattler and Sodium Sulfate testing to evaluate aggregate quality and the presence of soft aggregate.

Upon completion of project work and laboratory testing, MPEG will prepare a letter report with a summary of test results along with an opinion on if project work generally conforms with Caltrans requirements and project specifications.

Task 13 - Contingency

Budget set aside for any contingencies as \$5,000. Authorization for this budget must be obtained from the County in advance of work performed.

Exclusions: The scope of services includes only those items that are specifically identified above. Any additional services needed could be provided on a time and materials basis after receiving written authorization.

EXHIBIT B
COMPENSATION, FEE SCHEDULE, SAMPLE INVOICE

Based on the above understanding, scope, and assumptions, we propose to provide engineering and contract administration, and material testing services based on a time and materials basis with a not-to-exceed fee of \$529,778. Invoices shall be submitted with hourly time indicated, per the sample provided in this Exhibit.

Task 1	Encroachment Permitting Support	\$15,920
Task 1A	Design Technical Memorandum	\$15,424
Task 2	Preliminary Engineering (35%)	\$60,544
Task 2A	Design Phase Review and Coordination Meetings	\$8,148
Task 3	Utility Coordination and review of existing project culvert/drainage Final Engineering (95%)	\$15,312
Task 4	Final Engineering (95%)	\$70,304
Task 5	Final Engineering-Specifications (95%)	\$42,536
Task 6	Final Engineering- Estimate	\$19,780
Task 7	Final Set of Drawings, Specs, and estimate (100%)	\$37,968
Task 8	QC of 100% Plans, Specs, and Estimate	\$18,340
Task 9	Prepare Submittal to Client	\$8,472
Task 10	Design Support during Construction and Contract Administration during Construction Services	\$88,280
Task 11	CONSULTING – Geotechnical (Miller Pacific)	\$2,500
Task 12	CONSULTING – Material Testing & Inspection SERVICES (Miller Pacific)	\$110,000
Task 13	Contingency	\$5,000
TOTAL		\$529,778

Rate sheets follow.



SCHEDULE OF COSTS AND FEES

Provided below are TRC's hourly rates for the positions shown on the organization chart in our response to the RFQ. The rates below will remain active for a time period of no less than thirty-six (36) months.

Classification	Hourly Billing Rate Range		
	2025	2026	2027
TRC Engineers, Inc.			
Principal-in-Charge	No Charge	No Charge	No Charge
Project Manager	\$280 - \$295	\$280 - \$304	\$280 - \$313
Resident Engineer	\$224 - \$295	\$224 - \$304	\$224 - \$313
Construction / Structure Inspector – Prevailing Wage*	\$195 - \$220	\$201 - \$227	\$207 - \$234
Assistant Resident Engineer / Office Engineer	\$150 - \$191	\$151 - \$197	\$156 - \$203

Labor Notes and Assumptions

- Only straight-time rates are shown, overtime will be billed at a pro-rata adjusted rate
- 3% annual escalation, effective every January 1st beginning 01/01/2026
- Rates are based on DIR Prevailing Wage Determination NC-63-3-9-2024-1
 - o * Denotes employees/classifications that are subject to prevailing wage

Other Direct Costs

- Personal Vehicles | per mile @ IRS Rate
- Per Diem - if applicable | per Caltrans travel guidelines
- Travel & Subsistence - if applicable | per DIR (Northern California Prevailing Wage Determinations only)

This proposal expressly excludes any and all taxes, tariffs, duties, and other similar charges or fees imposed by any governmental authority (collectively, "Taxes and Tariffs"). The prices and fees in TRC's proposal do not include any such Taxes and Tariffs. The Client shall be solely responsible for the payment of all applicable Taxes and Tariffs arising from or related to the work contemplated by this proposal. If TRC or its subcontractors are required to pay Taxes and Tariffs on behalf of the Client, the Client shall promptly reimburse TRC for the full invoiced amount thereof.



MILLER PACIFIC ENGINEERING GROUP
a California corporation
SCHEDULE OF CHARGES
PROFESSIONAL ENGINEERING AND TESTING SERVICES

<u>Professional and Technical Personnel</u>	<u>Hourly Rate</u>
Project Assistant/Word Processor.....	\$105
Engineering Technician	\$135
Senior Technician	\$155
Prevailing Wage	\$175
Staff Engineer/Geologist.....	\$175
Project Engineer/Geologist.....	\$205
Senior Engineer/Geologist.....	\$225
Associate Engineer/Geologist	\$265
Principal Engineer/Geologist	\$300

A La Carte Laboratory Testing

(Will be charged at the hourly rate above unless otherwise noted in the Agreement and Proposal)

Modified 4 in. Compaction Curve/Checkpoint	ASTM D 1557.....	\$350/\$200
Sieve Analysis (w/ -200 wash)	ASTM D 422.....	\$200
Minus 200 Sieve Wash	ASTM D 1140.....	\$150
Atterberg Limits	ASTM D 4318.....	\$300
Expansion Index	ASTM D 4829.....	\$350
Moisture Content/Density	ASTM D 2937.....	\$75
Unconfined Compression	ASTM D 2166.....	\$150
Hydrometer	ASTM D4829.....	\$250
Reporting Fee.....		\$100 per test request
Transportation Fee (for samples dropped off at Novato/Napa Office)		\$50
Rush Testing.....	Add 50% to the Total of all Laboratory Testing	

Other Inside Charges

Mileage	IRS Rate + 20% per mile
Vehicle (Field).....	\$9 per hour
Nuclear Density Gauge	\$10 per test
Inclinometer	\$160 per day / \$90 per half day
Laser Level/Floor Level.....	\$25 per day
Sampling Equipment.....	\$50 per day / \$30 half day

Outside Services..... Cost + 20%

Includes exploration equipment, instrumentation, in-situ monitoring, outside/specialized laboratory testing, per diem, shipping, courier/delivery services, outside reproduction, and other services and supplies not normally provided.

*NOTES:

- Field site visits and travel time are normal hourly rates, portal to portal.
- Overtime – Weekday add \$40
Overtime – Weekend/Holiday/Night add \$50*
*(4- and 8-hour minimums)
- Rates are for normal Geotechnical Engineering and Geological services. Rates for depositions and testimony are \$600 per hour for Principal; \$530 per hour for Associate; and \$450 per hour for Senior. All other personnel are \$400 per hour. These fees are due and payable at the time of service.
- Schedule of charges is effective as of January 2025. It is subject to revision annually with a maximum annual escalation of 6%.

SAMPLE INVOICE

[Company Name]
 [Street Address]
 [City, ST ZIP Code]
 Phone [phone] Fax [fax]
 Taxpayer ID #

INVOICE # _____

DATE: _____

TO:

[Customer Name]
 [Street Address]
 [City, ST ZIP Code]

FOR:

[Project or service description]
 Contract No.

Date	DESCRIPTION	Employee & Title	HOURS	RATE	AMOUNT
1/1/15	Site visit/investigation 123 Main St, Napa. Conf w/Owner AutoCad, Bldg X, 3 rd Floor	Smith, Engineer	1.5	\$165.00	247.50
1/1/15		Smith, Engineer	1	\$165.00	165.00
1/1/15		Smith, Engineer	4	\$165.00	660.00
		Smith, Engineer			
1/2/15	Rev plans, phone conf w/Owner	Jones, PE	1.75	\$195.00	341.25
1/2/15	AutoCad Bldg X, 3 rd Floor Conf w/Owner re 2 nd Floor	Smith, Engineer	4	\$165.00	660.00
1/2/15		Smith, Engineer	.5	\$165.00	82.50
1/3/15	Mtg w/Jones re 2 nd Floor; conf w/Owner Mtg w/Smith; conf w/Owner re 2 nd Floor	Smith, Engineer	1.5	\$165.00	247.50
1/3/15		Jones, PE	1.5	\$195.00	292.50
TOTAL					

EXHIBIT C INSURANCE REQUIREMENTS

C.1 Workers Compensation Insurance. To the extent required by law during the term of this Agreement, Consultant shall provide workers compensation insurance for the performance of any of Consultant's duties under this Agreement as required by the State of California with statutory limits, and employer's liability insurance with a limit of no less than TWO MILLION DOLLARS (\$2,000,000) per accident for bodily injury or disease, all with a waiver of subrogation. Consultant shall provide County with certification of all such coverages upon request by County's Risk Manager.

C.2 Liability Insurance. Consultant shall obtain and maintain in full force and effect during the term of this Agreement the following occurrence-based liability insurance coverages, issued by a company licensed to transact business in California and having an A.M. Best rating of A:VII or better, or equivalent self-insurance:

C.2.1 General Liability. Commercial general liability (CGL) insurance coverage (personal injury and property damage) of not less than TWO MILLION DOLLARS (\$2,000,000) combined single limit per occurrence, covering liability or claims for any personal injury, including death, to any person and/or damage to the property of any person arising from the acts or omissions of Consultant or any officer, agent, or employee of Consultant under this Agreement. If the coverage includes an aggregate limit, the aggregate limit shall be no less than twice the per occurrence limit.

C.2.2 Professional Liability/Errors and Omissions. Professional liability (or errors and omissions) insurance for all activities of Consultant arising out of or in connection with this Agreement in an amount not less than TWO MILLION DOLLARS (\$2,000,000) per claim. If the coverage includes an aggregate limit the aggregate limit shall be no less than twice the per occurrence limit.

C.2.3 Comprehensive Automobile Liability Insurance. Comprehensive automobile liability insurance (Bodily Injury and Property Damage) on owned, hired, leased and non-owned vehicles used in conjunction with Consultant's business of not less than ONE MILLION DOLLARS (\$1,000,000) combined single limit per occurrence. Coverage shall be business auto insurance coverage using Insurance Services Office (ISO) form number CA 0001 06 92 including symbol 1 (any Auto) or the exact equivalent. If Consultant owns no vehicles, this requirement may be satisfied by a non-owned auto endorsement to the General Liability Insurance described in paragraph C.2.1, above. If Consultant or Consultant's employees, officers, or agents will use personal automobiles in any way in the performance of this Agreement, Consultant shall provide evidence of personal auto liability coverage for each such person upon request.

C.3 Certificates of Coverage. All insurance coverages referenced in paragraph C.2, above, shall be evidenced by one or more certificates of coverage or, with the consent of County's Risk

Manager, demonstrated by other evidence of coverage acceptable to County's Risk Manager, which shall be filed by Consultant with the County Department administering this Agreement prior to commencement of the Scope of Services.

C.3.1 Notice of Cancellation. The certificate(s) or other evidence of coverage shall reference this Agreement by its County number or title and department; shall be kept current during the term of this Agreement; shall provide that County shall be given no less than thirty (30) days prior written notice of any non-renewal, cancellation, other termination, or material change, except that only ten (10) days prior written notice shall be required where the cause of non-renewal or cancellation is non-payment of premium.

C.3.2 Multiple Insureds. The certificate(s) shall provide that the inclusion of more than one insured shall not operate to impair the rights of one insured against another insured, the coverage afforded applying as though separate policies had been issued to each insured, but the inclusion of more than one insured shall not operate to increase the limits of the company's liability.

C.3.3 Waiver of Subrogation and Additional Insured Endorsements. For the commercial general liability insurance coverage referenced in subparagraph C.2.1 and, for the commercial automobile liability insurance coverage referenced in subparagraph C.2.3 where the vehicles are covered by a commercial policy rather than a personal policy, Consultant shall also file with the evidence of coverage an endorsement from the insurance provider naming Napa County, its officers and employees as additional insureds and waiving subrogation. For the Workers Compensation insurance coverage, Consultant shall file an endorsement waiving subrogation with the evidence of coverage.

C.3.4 Additional Requirements. The certificate or other evidence of coverage shall provide that if the same policy applies to activities of Consultant not covered by this Agreement, then the limits in the applicable certificate relating to the additional insured coverage of County shall pertain only to liability for activities of Consultant under this Agreement, and that the insurance provided is primary coverage to County with respect to any insurance or self-insurance programs maintained by County. The additional insured endorsements for the general liability coverage shall use Insurance Services Office (ISO) Form No. CG 20 09 11 85 or CG 20 10 11 85, or equivalent, including (if used together) CG 2010 10 01 and CG 2037 10 01; but shall not use the following forms: CG 20 10 10 93 or 03 94.

C.4 Copies of Policies. Upon request by County's Risk Manager, Consultant shall provide or arrange for the insurer to provide within thirty (30) days of the request, copies of redacted Declaration Pages of the insurance policies or relevant portions thereof.

C.5 Deductibles/Retentions. Any deductibles or self-insured retentions shall be declared to, and be subject to approval by County's Risk Manager, which approval shall not be denied unless the County's Risk Manager determines that the deductibles or self-insured retentions are

unreasonably large in relation to compensation payable under this Agreement and the risks of liability associated with the activities required of Consultant by this Agreement.

EXHIBIT D

CALIFORNIA PREVAILING WAGE REQUIREMENTS

Pursuant to California Labor Code sections 1720 and 1771, construction, alteration, demolition, installation, repair and maintenance work performed under this Agreement is “public works” subject to State prevailing wage laws. State prevailing wage laws require certain provisions be included in all contracts for public works. Contractor and any subcontractors shall comply with State prevailing wage laws including but not limited to the requirements listed below.

D.1 Payment of Prevailing Wages. Contractor and all subcontractors shall ensure that all workers who perform work under this Agreement are paid not less than the prevailing rate of per diem wages as determined by the Director of the California Department of Industrial Relations (DIR). This includes work performed during the design, site assessment, feasibility study, and other preconstruction phases of construction, including but not limited to inspection and land surveying work, regardless of whether any further construction work is conducted, and work performed during the post-construction phases of construction, including but not limited to all cleanup work at the jobsite.

D.1.1 Copies of such prevailing rate of per diem wages are on file at the Napa County Public Works Department and are available for inspection to any interested party on request. Copies of the prevailing rate of per diem wages also may be found at <http://www.dir.ca.gov/OPRL/DPreWageDetermination.htm>. Contractor and all subcontractors shall post a copy of the prevailing rate of per diem wages determination at each job site and shall make them available to any interested party upon request.

D.1.2 The wage rates determined by the DIR refer to expiration dates. If the published wage rate does not refer to a predetermined wage rate to be paid after the expiration date, then the published rate of wage shall be in effect for the life of this Agreement. If the published wage rate refers to a predetermined wage rate to become effective upon expiration of the published wage rate and the predetermined wage rate is on file with the DIR, such predetermined wage rate shall become effective on the date following the expiration date and shall apply to this Agreement in the same manner as if it had been published in said publication. If the predetermined wage rate refers to one or more additional expiration dates with additional predetermined wage rates, which expiration dates occur during the life of this Agreement, each successive predetermined wage rate shall apply to this Agreement on the date following the expiration date of the previous wage rate. If the last of such predetermined wage rates expires during the life of this Agreement, such wage rate shall apply to the balance of the Agreement.

D.2 Penalties for Violations. Contractor and all subcontractors shall comply with California Labor Code section 1775 in the event a worker is paid less than the prevailing wage rate for the work or craft in which the worker is employed. This is in addition to any other applicable penalties allowed under the California Labor Code.

D.3 Payroll Records. Contractor shall comply with California Labor Code section 1776, which generally requires keeping accurate payroll records, verifying and certifying payroll records, and making them available for inspection. Contractor shall require all subcontractors to also comply with section 1776 to the extent they are performing public works. Contractor and all subcontractors shall furnish records specified in section 1776 on a monthly basis directly to the Labor Commissioner in the manner required by California Labor Code section 1771.4. Contractor and all subcontractors shall also furnish the records to County at County's request. Contractor shall ensure its subconsultants and subcontractors prepare and submit payroll records to the DIR and County as required by this paragraph.

D.3.1 If Contractor and any subcontractors are exempt from the DIR registration requirement pursuant to paragraph D.9.3 below, then Contractor and any subcontractors are not required to furnish payroll records directly to the Labor Commissioner but shall retain the records for at least three years after completion of the work, pursuant to California Labor Code section 1771.4(a)(4).

D.3.2 County may require Contractor and its subcontractors to prepare and submit records specified in section 1776 to County and the Labor Commissioner on a weekly basis, at no additional cost to County.

D.4 Apprentices. Contractor and all subcontractors shall comply with California Labor Code sections 1777.5, 1777.6, and 1777.7 concerning the employment and wages of apprentices on public works projects. Contractor is responsible for compliance for all apprenticeable occupations pursuant to California Labor Code section 1777.5(n), and could be penalized for violations of its subcontractors pursuant to California Labor Code section 1777.7.

D.5 Working Hours. Contractor and all subcontractors shall comply with California Labor Code sections 1810 through 1815. Contractor and all subcontractors shall restrict the time of service of any worker on a public works project to eight hours during any one calendar day and forty hours during any one calendar week, unless all hours worked in excess of 8 hours per day are compensated at not less than 1½ times the basic rate of pay. Violations are subject to penalties of \$25 per worker per day pursuant to California Labor Code section 1813.

D.6 Required Provisions for Subcontracts. Contractor shall include, at a minimum, a copy of the following provisions in any contract they enter into with a subcontractor: California Labor Code sections 1771, 1771.1, 1775, 1776, 1777.5, 1813, and 1815.

D.7 Labor Code Section 1861 Certification. In accordance with California Labor Code section 3700, Contractor is required to secure the payment of compensation of its employees. By signing the Agreement to which this is an exhibit, Contractor certifies that:

“I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and

I will comply with such provisions before commencing the performance of the work of this contract.”

D.8 Compliance Monitoring and Enforcement. This project is subject to compliance monitoring and enforcement by the DIR. County must withhold contract payments from Contractor as directed by the DIR, pursuant to California Labor Code section 1727.

D.9 Registration Requirements. Contractor and any subcontractors shall not engage in the performance of any contract for public work, unless currently registered and qualified to perform public work pursuant to California Labor Code section 1725.5.

D.9.1 By signing the Agreement to which this is an Exhibit, Contractor is certifying that it has verified that all subcontractors used on this project are registered with the DIR in compliance with California Labor Code sections 1771.1 and 1725.5.

D.9.2 County may ask Contractor for the most current list of subcontractors (regardless of tier), along with their DIR registration numbers, utilized on this project at any time during performance of this Agreement, and Contractor shall provide the list within ten (10) working days of County’s request.

D.9.3 The registration requirement does not apply on a public works project of twenty-five thousand dollars (\$25,000) or less when the project is for construction, alteration, demolition, installation, or repair work, or on a public works project of fifteen thousand dollars (\$15,000) or less when the project is for maintenance work, pursuant to California Labor Code sections 1725.5(f) and 1771.1(n).

D.10 Stop Order. Where a contractor or subcontractor engages in the performance of any public work contract without having been registered in violation of California Labor Code sections 1725.5 or 1771.1, the Labor Commissioner must issue and serve a stop order prohibiting the use of the unregistered contractor or subcontractor on all public works until the unregistered contractor or subcontractor is registered. Failure to observe a stop order is a misdemeanor.